



INSPECTOR GENERAL REPORT

2011-02-0056

March 10, 2011

SPD DEPENDENT AUDIT INVESTIGATION #26

Inspector General Staff Attorney Todd Shumaker, after an investigation by Special Agent Mark Mitchell, reports as follows:

In January and February 2011, the State Personnel Department (SPD) forwarded to the Office of Inspector General (OIG) a series of complaints alleging possible insurance fraud that arose out of a Dependent Eligibility Verification Audit (Audit) by SPD. Among those complaints was one against a former Department of Correction employee (“Employee”),¹ who failed to deliver to the Audit administrator documentation confirming the eligibility of his daughter and wife.

OIG Special Agent Mark Mitchell was assigned to investigate. Special Agent Mitchell received a copy of Employee’s child’s birth certificate from the Madison County Health Department showing Employee as her father. Special Agent Mitchell also verified with the Madison County Clerk’s Office that Employee had married his wife on June 18, 2004. The Whitley County Clerk’s

¹ Employee’s employment with the DOC was terminated on October 10, 2010. Benefits terminated on November 6, 2010 as a result of his termination from state employment.

Office forwarded to the OIG a copy of Employee's divorce decree showing he and his wife had divorced on December 20, 2010. Special Agent Mitchell notified SPD's Benefits Division that both Employee's wife and child were eligible dependents on Employee's plan until the time his employment with the State was terminated.

Finding no basis for criminal charges, Special Agent Mitchell recommended the investigation be closed at this time. Should additional evidence be brought forward, this case may be evaluated for further action. At this time and for these reasons, this case is closed.

Dated this 10th day of March, 2011.

APPROVED BY:

/s/ David O. Thomas, Inspector General